Dec 18 06 05:46p

NIXON PEABODY

18667410075

p.1

DEC 1 8 2006

Nixon Peabody LLP

Attorneys at Law

100 Summer Street Boston, MA 02110-2131 (617) 345-1000

Fax: (617) 345-1300

PRIVILEGE AND CONFIDENTIALITY NOTICE

The information in this fax is intended for the named recipients only. It contains privileged and confidential matter. If you have received this fax in error, please notify us immediately by a collect telephone call to (617) 345-1000 and return the original to the sender by mail. We will reimburse you for postage. Do not disclose the contents to anyone. Thank you.

FAX

10:	Company	Fax #:	Telephone #:			
US PTO	United States Patent Trademark Offic					
· INTERNATIONAL PHONE N	UMBERS MUST INCLUDE COUNTRY	& CITY CODE. SEE LOCAL WHITE	PAGES FOR CODES NEEDED.			
From: Ronald I. Eisenstein Leena H. Karttunen Date: December 18, 2006		No. of Pages: SO (including this page)	701586-050174-DIV			
ractitioner's Docket No. 701586-	050174-DIV	PATENT				
	IN THE UNITED STATES PATE	ENT AND TRADEMARK OFFICE	ε			
pplication No.: 10/060,759 led: 01/30	Group No.: 0/2002 Ex	Infirmation No.: 8480 1614 aminer: Phyllis G. ENT OF CHRONIC LYMPHOCYTIC LEUK	•			
		RANSMISSION (37 C.F.R. § 1.8)				
ereby certify that this corresponde	•	1.8)				
 Petition for Extension Amendment (6 pp.); Exhibit A (21 pp.); Exhibit B (22 pp.); and Fee Transmittal in duping 	Examination (RCE) in duplicate (2 pof Time in duplicate (2 pp.);	p.); nd Trademark Office at (571) 273-830				
	•	Nicole M. Aguirre				
ecember 18, 2006		Micole M. Signature	Querre			
First Class Mail O Overnight M	Original of the transmitted of ail o Hand Delivery	document will be sent by: o This transmission will be the only fo	rm of delivery of this document			
YOU DO NOT RECEIVE A POSSIBLE AT: (617) 345	ALL OF THESE PAGES, P. -1386. THANK YOU.	LEASE CONTACT THE FA	X OPERATOR AS SOO			
NFIRMATION: DATE SENT		Й МЕ В	3Y			

10226768.1

RECEIVED CENTRAL FAX CENTER

DEC 1 8 2006

Practitioner's Docket No. 701586-050174-DIV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adam Lemer et al.

Confirmation No.:

8480

Application No.:

10/060,759

Group No.:

1614

Filed:

01/30/2002

Examiner:

Phyllis G. Spivack

For:

COMPOSITIONS AND METHODS FOR THE TREATMENT OF CHRONIC

LYMPHOCYTIC LEUKEMIA

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8)

I hereby certify that this correspondence:

- 1. Facsimile Cover Sheet (1 pg.);
- 2. Certificate of Transmission (1 pg.);
- 3. Transmittal Form (1 pg.);
- 4. Statement of Limited Recognition (1 pg.);
- 5. Request for Continued Examination (RCE) in duplicate (2 pp.);
- 6. Petition for Extension of Time in duplicate (2 pp.);
- 7. Amendment (pp.);
- 8. Exhibit A (21 pp.);
- 9. Exhibit B (22 pp.); and
- 10. Fee Transmittal in duplicate (2 pp.).

is on the date shown below being:

MAILING

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: December 18, 2006

FACSIMILE

X transmitted by facsimile to the Patent and Trademark Office at (571) 273-8300.

Nicole M. Aguirre

(type or print name of person certifying)

10226666.1

RECEIVED CENTRAL FAX CENTER

DEC 1 8 2006

			Application Number	10/060,75	9	
TRANSMITTAL FORM		Filing Date		01/30/2002		
		First Named Inventor	Adam Len			
(to be used for all correspondence after initial filing)		Group Art Unit	1614	nei et ai.		
(to be used for all correspon	idence after initial fi	iling)	Examiner Name		Cuitanale	
Tarday I Co. I Tarday I		Attorney Docket Number	Phyllis G.	50174-DIV		
Total Number of Pages in This				701386-03	10174-DIV	
ENCLOSURES (check all that apply)						
Fee Transmittal Form			ent Papers		nce Communication to Group	
Fee Attached		J Drawing	(s)	Appeal Comp Appeals and I	munication to Board of Interferences	
Amendment / Repty	[ion and Power of Attorney	Appeal Come	nunication to Group	
After Final	[Licensin	g-related Papers	Proprietary In		
Affidavits/declaration(s)	, [□	Petition		Status Letter		
Extension of Time Request	[- 101110111	o Convert to a Provisional	Application D		
Express Abandonment Requ	aet –	Applicate Applicate Applicate Applicate Applicate Applicate		Enclosures	corrected Filing Receipt with	
Information Disclosure State		Change	Attorney, Revocation of Correspondence Address	A self-address acknowledging	sed prepaid postcard for	
Certified Copy of Priority		-	Disclaimer		rc(s) (please identify below):	
Document(s)		_ `	for Refund .	Facsimile Cover St Fransmission; Requ	heet: Certificate of	
Response to Missing Parts/	_	☐ CD, Nun	nber of CD(s)	Examination: State	ment of Limited	
Incomplete Application				Recognition; and E	KNIORS A and B.	
Response to Missing Par	15	r	<u>l</u>			
under 37 CFR 1.52 or 1.	53 Re	marks	The Commissioner is h	reby authorized to	dana	
ļ 			required or credit any overpa	ments to Deposit A	count No. 19-2380 for the	
			above identified docket num	er.		
	SIGNATURE O	OF APPLI	CANT, ATTORNEY, O	AGENT		
Firm	Nixon Peabody	y LLP				
Individual name	100 Summer S					
	Boston, MA 02	2110-213	<u>1</u>			
Signature	Read	16 F	Gent -			
Name	Ronald 1 Fiser	nstein (30	,628) / Leena H. Karttur	- (f 0207)		
			,020) / Leena H. Rardui	EN (LUZU7)		
Date December 18, 2006						
CED	TIEICATE OE A	AAU DIC	OR TRANSPORTER			
			OR TRANSMISSION	37 CFR 1.8(a)]		
I hereby certify that this correspondence is being:						
deposited with the United States Postal Service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to MAIL STOP RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.						
Date Date						
Signature						
Nicole M. Aguirre Typed or printed name						
			iyped	or printed name	;	

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

DEC 1 8 2006

LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Leena H. Karttunen is hereby given limited recognition under 37 CFR §11.9(b) as an employee of Nixon Peabody LLP, to prepare and prosecute patent applications wherein the patent applicant is the client of Nixon Peabody LLP and an attorney or agent of record in the applications is a registered practitioner who is a member of Nixon Peabody LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Leena H. Karttunen ceases to lawfully reside in the United States, (ii) Leena H. Karttunen's employment with Nixon Peabody LLP ceases or is terminated, or (iii) Leena H. Karttunen ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Limited Recognition No. <u>L0207</u> Expires: February 11, 2007

Harry I. Moatz

Director of Enrollment and Discipline

FEE TRANSMITTAL FOR FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (3) 905 00

Complete if Known							
Application Number	10/060,759						
Filing Date	01/30/2002	RECEIVED					
First Named Inventor	Adam Lemer et al.						
Art Unit	1614	CENTRAL FAX CENTE					
Examiner Name	Phyllis G. Spivack	DEC 1 8 2006					
Attorney Docket No.	701586-050174-DIV	DEC 1 0 2000					

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
Check Credit		e 3. A	DDITIO	ONAL					
Deposit Account:	Order	Large	Entity	Small	Entity				
Deposit Account Number	80	Fee Code	Fee (\$)	Fee Code	Fee (S)	_	Fee Desc	ription	
Number		1051	130 50	2051 2052	65 25	Surcharge	e – late filing fer e - late provision	or oath al filing fee or cover	
Deposit Account Nixon	Peabody LLP	1053	130	1053	130		lish specification		
Name		1812	2,520	2812	2,520			parte reexamination	
	uthorized to: (check all that apply)	1804	920*	1804	920*	Requestir action	ng publication of	SIR prior to Examiner	
Charge fee(s) indicate	d below Credit any overpayments	1805	1,840*	1805	1,840*	Requestir	ng publication of	SIR after Examiner	
Charge any additional	fee(s)	125!	120	2251	60	action Extension	for reply within	first month	<u> </u>
Charge fee(s) indicate	d below, except for the filing fee	1252	450	2252	225		for reply within		
to the above-identified depor	sit account.	1253	1,020	2253	510		for reply within		510.00
F	EE CALCULATION	1254	1,590	2254	795		for reply within		310.00
1. BASIC FILING FEE		1255	2,160	2255	1.080	Extension	for reply within	fifth month	
Large Entity Small I Fcc Fee Fee	Entity Fee Fee Description	1401	500	2401	250	Notice of	Appeal		
	(\$) Fee Paid	1402	500	2402	250	Filing a b	rief in support of	an appeal	
ļ.		1403	1,000	2403	500	Request fo	or oral hearing		
1001 300 2001	150 Utility filing fee	1451	1,510	1451	1,510	Petition to	institute a publi	ic use proceeding	
1002 200 2002	100 Design filing fee	1452	500	2452	250	Petition to	revive – unavoi	dable	
1003 200 2003	100 Plant filing fee	1453	1,500	2453	750	Petition to	revive – uninte	ntiona)	
1004 300 2004	150 Reissua filing fee	1503	1,400	2501	700	Utility iss	nt fee (or reissur	:)	
1005 200 2005	100 Provisional filing fee	1502	800	2502	400	Design iss	sue fee		
	CHIPTOTAL (I)	1503	1,100	2503	550	Plant issue			
1	SUBTOTAL (1) (5) 0.00	1460	130	1460	130	Petitions t	o the Commissio	oner	
2. EXTRA CLAIM I	TOO HOD NOW!	1807	50	1807	50	Processing	g fee under 37 C	FR 1.17(q)	
2. EXTRA CLAIM I	EES FOR UTILITY AND REISSUE Fee from	1806 8021	180	1806	180			Disclosure Stat	
Total Claims20	Extra Chaims below Fee Paid X 0	1809	40 790	2809	40 395	(times nun	; each patent assi nber of propertie ibmission after fi		
Independent -3°	·	1810	790	2810	395	(37 CFR I For each a	.129(a)) dditional inventi	on to be examined	
Multiple Dependent	X = 0	1801	790	2801	395	(37 CFR 1 Request fo		mination (RCE)	395.00
	Fee Description	1802	900	1802	900	Request for	er expedited exam	nination of a design	
Code (\$) Code (Other fi	ee (specif	y)					
	25 Claims in excess of 20 00 Independent claims in excess of 3	1							
	80 Multiple dependent claim, if not paid	*Reduc	ed by Bas	sic Filing	Fce Paid		SUBTOTAL	(3) (S) 905,00	
1204 200 2204 E	00 ** Reissue independent claims over	1		CERT	TIFICATE 4	OF MAIL INC	OR TRANSMEN	ION [37 CFR 1.8(a)]	
1205 50 2205	original patent 25 ** Reissue claims in excess of 20 and	1 hereb	y certify u		respondence		OK IKANSHIISS	OK 37 CFK 1.0(8)	
	over original patent	deposited with the United States Postal Service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to MAIL STOP RCE, Commissioner for Patents, P.O. Box 1450.							
	SUBTOTAL (2) (5) 0.00	1	•	liexandria,	VA 22313-	-1450.			•
**or number previously pa	aid, if greater; For Reissues, see above	1	Ø tr an	ansmitted t 571-273	by facsimile -8300	e on the date si	hows below to the	United States Patent and Try	denak Office
			December 18 2006 // NIC M. (BALLIA)						
			Dat	16			Nicoletini Au	Signar/fe	
						Т-	yped or printed name		
SUBMITTED BY									
Name (Print/Type)	Ronald L. Eisenstein	Registr	ation No	. 	30,6	28	Complete (if a	oplicable) 617-345-	6054
Signature	Leena H. Karttunen	(Attorne	y/Agent		1.02		Telephone	617-345-	
<u>.</u>							Date	December I	8, 2006

FEE TRANSMITTAL
FOR FV 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 905.00

Complete if Known							
Application Number	10/060,759						
Filing Date	01/30/2002						
First Named Inventor	Adam Lemer et al.						
Art Unit	1614						
Examiner Name	Phyllis G. Spivack	DECE					
Attorney Docket No.	701586-050174-DIV	RECEIVED					

METHOD	OF PAYMENT (check all that	(apolv)	т—				FFF CALCON AND		HAVE LYX CEN	
Check Credit Card Manager Other None			3. ADDITIONAL FEES			FEES	FEE CALCULATION (continued) DEC 1 8 288			
Order Deposit Account:			Large Entity Small Entity							
Deposit Account 19-	2380		Fee	Fee	Fee	Fee	Fee 0	escription		
Number			Code 1051	(\$) 130	2051	(S) 65		- ·		
			1052	50	2052	25	Surcharge - late filing	ional filing fee or cover		
Deposit			1053	110	1,000		SHEEL		i 1	
Account Nix Name	on Peabody LLP		1812	130	1053	130	Non-English specifica			
			1	2,520	1812	2,520		ex parte reexamination		
	authorized to: (check all that		1804	920*	1804	920•	Requesting publication action	of SIR prior to Examina	,	
Charge fee(s) indic		overpayments	1805	1,840*	1805	1,840*		of SIR after Examiner	—	
Charge any additio			1251	(20	2251	60	RCU (CI)		<u>[</u>]	
Charge fee(s) indic	sted below, except for the filing fee	,	1252	450	2252	225	Extension for reply with			
to the above-identified de	posíl account.		1253	1,020	2253	510	Extension for reply with			
	FEE CALCULATION		1254	1,590	2254	795	Extension for reply wit	him third month	510.00	
I. BASIC FILING F	EE		1255	2,160	2255	1,080	Extension for reply wit	hin fourth month		
	1 Entity		1401	500	2401	250	Extension for reply wir Notice of Appeal	hin fifth month		
Fee Fee Fee Code (5) Code	Fee Fee Description (5)	Fee Paid	1402	500	2402	250	• •	_		
.,	1-7	recrand	1403	1,000	2403	500	Filing a brief in support			
1001 300 2001	150 Utility filing fee		1451	1,510	1451	1,510	Request for oral hearing			
1002 200 2002	100 Design filing fee		1452	500	2452	250	Petition to institute a pu Petition to revive - una			
1003 200 2003	100 Plant filing fee		1453	1,500	2453	750	Petition to revive - unit			
1004 300 2004	150 Reissue filing fee		1501	1,400	2501	700				
1005 200 2005	100 Provisional filing fee		1502	800	2502	40D	Utility issue fee (or reis: Design issue fee	sue)		
•	- '		1503	1,100	2503	550	Plant issue fee			
	SUBTOTAL (1) (3) 0.00		1460	130	1460	130	Petitions to the Commis	ionee		
	L.··		1807	50	1807	50			L 1	
2. EXTRA CLAIM	FEES FOR UTILITY AND F	REISSUE	1806	180	1806	180	Processing fee under 3? Submission of Informati	CFR 1.17(q)		
	Fee from Extra Claims below		8021	40	8021	40	Recording each patent as	on Exectosure Strat	——	
Total Claims	20 • • = XTTA Claims below	Pec Paid	1809	790	2809	395	(inner number of proper	ies)		
Independent .)**			1	2807	373	Filing a submission after (37 CFR 1.129(a))	final rejection		
Claims	·		1810	790	2810	395	For each additional inver	tion to be examined		
Multiple Dependent	x=	0	1801	790	2801	395	(37 CFR 1.129(b)) Request for Continued E	ramination (BCC)		
Large Entity Small	Entity								395.00	
Fee Fee Fee	Fee Fee Description		802	900	1802	900	Request for expedited ex-	mination of a design		
Code (S) Code	(5)	1	Other fee	(specify)	3		application			
1202 50 2202	25 Claims in excess of 20	į			•					
1201 200 2201	100 Independent claims in exc									
1203 360 2203	180 Multiple dependent claim.	if not paid	Reduced	l by Basi	c Filing F	ce Paid	SUBTOTA	L (3) (\$) 905.00		
1204 200 2204	100 ** Reissue independent el				6	n.a.— -				
1205 50 2205	criginal patent 25 ** Reissue claims in exce.	1	I hereby	centify (bar	CERTI. Lihis corre	FICATE O	F MAILING OR TRANSMIS	SION [17 CFR :.8(a)]		
	over original patent	S OF 2D amo	I hereby certify that this correspondence is being: Deposited with the United States Pozzal Service under 37 C.F.R. section: 1,10, on the date inducated above and is addressed to MAIL STOP BCP. Compiler.							
SUBTOTAL (2) (3) 0.00						iddressod 1 'A 22313-1		ioner for Patents, P.O. Box 1	150,	
**or number previously paid, if greater: For Reissues, see above			1	™ tran	namined by	facuenile		United States Patent and To-	eri ali Don	
1 ,				transmitted by facatonile on the dato shows below to the United Stores Patent and Trademark Office at 571-273-8300						
į				Date			-11'	Signature 7	ب الماليكانيان	
[_				NicoleM. Aguerra						
SUBMITTED BY								yped or printed name		
				Complete (if applicable)						
Leena H. Karthimen			(Attorney/deeps) 1,0202 Telephone 617-345-6054				6054			
gnature			(Altorney/Agent) L0207 Telephone 617-345.			1367				
	i						l	i		